

CAMBRIDGE CONNECTION, LLC

Canon of Ethics

Our advisors agree to uphold this Canon of Ethics in dealing with clients and with Cambridge Connection.

Cambridge Connection and the advisor agree:

- that our single primary purpose is to provide education to our clients.
- to conscientiously schedule and complete all work contracted for within the time frames agreed upon.
- to continue to maintain education services available to clients beyond the scope agreed to in the retainer agreement which shall include seminars, dinners, etc.
- to recognize that our common welfare depends and hinges on continuing education; and therefore, will assiduously make available and take advantage of continuing education programs to continually improve our professional competence.
- to act objectively in all matters with clients, and not take commissions or kickbacks based on advice or recommendations given to clients from any other source other than client fees.
- not to indulge or participate in any matter or any activity involving fraud, backdating of instruments, etc.
- never to endorse, finance or lend the Cambridge Connection name to any related facility or outside enterprise. Cambridge Connection has no opinion on outside issues hence the Cambridge Connection name ought never be drawn into public controversy.
- not to advertise on press, radio, films, or any other media including phone directories, since our public relations is based on attraction rather than promotion. Cambridge Connection will not pay direct referral fees to any other individual or institution for client referrals, but may support referrers through dues, etc.
- to pay any moneys agreed to in a prompt and timely manner without requiring follow-up by clients, Cambridge Connection or the United States government.
- that the advisor will not prepare any income tax returns, nor render any other services offered by Cambridge Connection to other than Cambridge clients, except in specified cases.
- to make full disclosures to each other and to Cambridge Connection with any incident or matter involving this Canon of Ethics.
- that our common welfare should come first. Our advisors will not compete with each other nor will Cambridge Connection favor one advisor over the other, and when called upon, each advisor will do his or her utmost to provide services which clients have contracted for.
- that in all matters concerning Cambridge Connection, the decision of the Board of Directors is final.
- that the advisor will not engage in any business activities in competition with Cambridge Connection.
- to file personal tax returns and maintain licenses as required with verification made to Cambridge Connection annually.